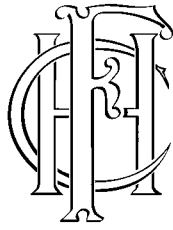


# THE FRICK COLLECTION

ANNUAL REPORT JULY 2017 – JUNE 2018





# THE FRICK COLLECTION

ANNUAL REPORT JULY 2017 – JUNE 2018

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## COVER

Antonio Canova (1757–1822), *Modello for George Washington* (detail), 1818, plaster, Gypsotheca e Museo Antonio Canova, Possagno, Italy; photograph by Fabio Zonta, Fondazione Canova onlus, Possagno

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# Statement of Financial Position

June 30, 2018, and 2017

	2018	2017
<i>Assets</i>		
Cash and cash equivalents	\$ 32,901,567	\$ 29,174,407
Cash pending investment	—	8,500,000
Contributions receivable, net	14,245,223	15,142,029
Inventory	886,831	865,444
Prepaid expenses, receivables, and other assets	1,676,467	1,425,294
Investments in securities	339,909,264	323,330,135
Property and equipment, net	30,553,998	24,089,951
<b>Total assets</b>	<b>\$ 420,173,350</b>	<b>\$ 402,527,260</b>
<i>Liabilities</i>		
Accounts payable, accrued expenses, and deferred income	3,623,590	4,221,666
Loan payable	7,000,000	—
Accrued postretirement health and other benefits	7,248,226	7,241,855
Accrued pension benefits	3,412,556	2,459,798
<b>Total liabilities</b>	<b>21,284,372</b>	<b>13,923,319</b>
<i>Net assets</i>		
Unrestricted	289,613,415	278,959,640
Temporarily restricted	69,029,406	69,398,144
Permanently restricted	40,246,157	40,246,157
Total net assets	398,888,978	388,603,941
<b>Total liabilities and net assets</b>	<b>\$ 420,173,350</b>	<b>\$ 402,527,260</b>

## Note 1

For purposes of brevity, the June 30, 2018, financial information presented here is excerpted from our audited financial statements as prepared by the independent accounting firm of PKF O'Connor Davies, LLP, which rendered an unmodified opinion as to those statements' conformance with generally accepted accounting principles. This excerpted information does not include the Statement of Cash Flows or the footnotes, which are integral to a full presentation of the Collection's financial position. The complete report of the independent auditors is available by writing to the development office of The Frick Collection.

## Note 2: Measure of Operations

Operations include all revenues and expenses that are an integral part of the Frick's programs and supporting activities. The measure of operations includes investment income equal to the 4.5% spending rate (see Note 3) and excludes investment return in excess of, or less than, the spending rate. The measure of operations also excludes permanently restricted contributions; purchase and sale of museum and library collection items; unsolicited, unrestricted contributions of \$50,000 or more (which are designated by the board for contributions to the Campaign for the Frick: Honoring the Past and Enhancing the Future); depreciation of property and equipment; pension and postretirement plan adjustments; and releases of net assets from restrictions related to non-operating items.

## Note 3: Spending Rate

The Frick Collection manages its pooled investments on a total return basis. To preserve the investments' long-term purchasing power, the Collection makes available to be spent each year a percentage of the investment portfolio's average market value for the twelve quarters ending the March prior to the beginning of the fiscal year (the spending rate). The spending rate was 4.5% for fiscal years 2018 and 2017. Although long-term in nature, this policy may be modified over time to reflect economic, market, and investment changes.

# Statement of Activities

June 30, 2018 (with comparative totals for the year ended June 30, 2017)

	Unrestricted					Total	
	General	Board Designated	Total	Temporarily Restricted	Permanently Restricted	2018	2017
<b>Operating support and revenues</b>							
Net investment return –							
4.5% spending policy	\$14,129,867	\$ —	\$14,129,867	\$ —	\$ —	\$14,129,867	\$13,807,150
Other interest income	57,184	—	57,184	—	—	57,184	57,648
Admission fees	3,502,230	—	3,502,230	—	—	3,502,230	3,589,443
Membership	2,691,477	—	2,691,477	—	—	2,691,477	2,729,711
Bookstore sales & miscellaneous	991,963	—	991,963	—	—	991,963	1,104,677
Contributions	5,131,470	—	5,131,470	1,794,854	—	6,926,324	7,767,392
	26,504,191	—	26,504,191	1,794,854	—	28,299,045	29,056,021
Net assets released from restrictions	2,877,027	—	2,877,027	(2,877,027)	—	—	—
<b>Total operating support and revenues</b>	<b>29,381,218</b>	<b>—</b>	<b>29,381,218</b>	<b>(1,082,173)</b>	<b>—</b>	<b>28,299,045</b>	<b>29,056,021</b>
<b>Operating expenses</b>							
<i>Museum programs</i>							
Operations	7,836,340	—	7,836,340	—	—	7,836,340	7,574,757
Special exhibitions, concerts and lectures	1,812,698	—	1,812,698	—	—	1,812,698	2,379,200
Bookstore, including cost of sales	1,449,585	—	1,449,585	—	—	1,449,585	1,452,930
<b>Total museum programs</b>	<b>11,098,623</b>	<b>—</b>	<b>11,098,623</b>	<b>—</b>	<b>—</b>	<b>11,098,623</b>	<b>11,406,887</b>
<i>Library programs</i>							
Operations	5,560,108	—	5,560,108	—	—	5,560,108	5,605,127
Special programs	434,293	—	434,293	—	—	434,293	462,787
<b>Total library programs</b>	<b>5,994,401</b>	<b>—</b>	<b>5,994,401</b>	<b>—</b>	<b>—</b>	<b>5,994,401</b>	<b>6,067,914</b>
<b>Total programs</b>	<b>17,093,024</b>	<b>—</b>	<b>17,093,024</b>	<b>—</b>	<b>—</b>	<b>17,093,024</b>	<b>17,474,801</b>
<i>Supporting services</i>							
General and administrative	9,145,010	216,170	9,361,180	—	—	9,361,180	9,706,747
Fundraising	2,956,021	—	2,956,021	—	—	2,956,021	2,830,235
<b>Total supporting services</b>	<b>12,101,031</b>	<b>216,170</b>	<b>12,317,201</b>	<b>—</b>	<b>—</b>	<b>12,317,201</b>	<b>12,536,982</b>
<b>Total operating expenses</b>	<b>29,194,055</b>	<b>216,170</b>	<b>29,410,225</b>	<b>—</b>	<b>—</b>	<b>29,410,225</b>	<b>30,011,783</b>
<b>Excess of operating support and revenues over operating expenses</b>	<b>187,163</b>	<b>(216,170)</b>	<b>(29,007)</b>	<b>(1,082,173)</b>	<b>—</b>	<b>(1,111,180)</b>	<b>(955,762)</b>
<b>Non-operating support and revenues</b>							
Contributions	—	6,208	6,208	6,259,712	—	6,265,920	37,791,566
Depreciation	—	(1,703,383)	(1,703,383)	—	—	(1,703,383)	(1,762,694)
Net investment return designated for long-term investment	—	11,556,869	11,556,869	3,002,974	—	14,559,843	27,863,758
Pension and postretirement benefit plan ASC 715 liability adjustments	(452,708)	—	(452,708)	—	—	(452,708)	9,427,621
Net assets released from restrictions for purchase of collection items	—	(7,133,455)	(7,133,455)	(140,000)	—	(7,273,455)	—
Net assets released from restrictions for Capital Campaign capital purchases	—	7,040,359	7,040,359	(7,040,359)	—	—	—
Net assets released from restrictions	—	1,368,892	1,368,892	(1,368,892)	—	—	—
<b>Total non-operating support revenue and expenses</b>	<b>(452,708)</b>	<b>11,135,490</b>	<b>10,682,782</b>	<b>713,435</b>	<b>—</b>	<b>11,396,217</b>	<b>73,320,251</b>
<b>Change in net assets</b>	<b>(265,545)</b>	<b>10,919,320</b>	<b>10,653,775</b>	<b>(368,738)</b>	<b>—</b>	<b>10,285,037</b>	<b>72,364,489</b>
<b>Net Assets</b>							
<b>Beginning of year</b>	<b>\$ 8,542,437</b>	<b>\$ 270,417,203</b>	<b>\$ 278,959,640</b>	<b>\$ 69,398,144</b>	<b>\$ 40,246,157</b>	<b>\$ 388,603,941</b>	<b>\$ 316,239,452</b>
<b>End of year</b>	<b>\$ 8,276,892</b>	<b>\$ 281,336,523</b>	<b>\$ 289,613,415</b>	<b>\$ 69,029,406</b>	<b>\$ 40,246,157</b>	<b>\$ 398,888,978</b>	<b>\$ 388,603,941</b>

## Gifts and Grants

We deeply appreciate the generosity of the individuals, foundations, and corporations that made contributions during the past fiscal year, July 1, 2017, to June 30, 2018. These gifts and grants provide vital general operating funds as well as support for a range of projects, including special exhibitions and publications, education programs, library acquisitions, conservation equipment and materials, and services to scholars.

### *\$1,000,000 and above*

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Mr. and Mrs. J. Fife Symington IV  
David and Julie Tobey  
Anonymous (4)

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Sarah Billingham Solomon  
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Anonymous (2)

† *deceased*

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Jennifer Wright  
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David and Monica Zwirner  
Anonymous (6)

† *deceased*

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Kerry Joyce and Sean Joyce  
Sophia Robert Kapacinkas and  
Fiona Robert Kapacinkas  
Scott Kellman

† *deceased*

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